

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
16 August 2001 (16.08.2001)

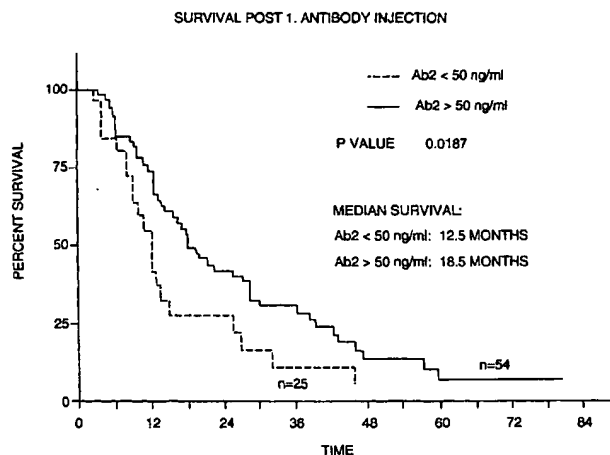
PCT

(10) International Publication Number  
**WO 01/59452 A2**

- (51) International Patent Classification<sup>7</sup>: **G01N 33/53**
- (21) International Application Number: **PCT/IB01/00423**
- (22) International Filing Date: 8 February 2001 (08.02.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
60/181,008 8 February 2000 (08.02.2000) US  
60/201,868 4 May 2000 (04.05.2000) US
- (71) Applicant: **ALTAREX CORP.** [CA/CA]; 1123 Dentistry Pharmacy Building, University of Alberta, Edmonton, Alberta T6G 2N8 (CA).
- (72) Inventor: **NOUJAIM, Antoine**; 58 Wilkin Road, Edmonton, Alberta T6M 2K4 (CA).
- (74) Agents: **CAWTHORN, Christian et al.**; Swabey Ogilvy Renault, Suite 1600, 1981 McGill College Avenue, Montreal, Québec H3A 2Y3 (CA).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:  
— without international search report and to be republished upon receipt of that report

[Continued on next page]

(54) Title: METHOD FOR DIAGNOSING EFFICACY OF XENOTYPIC ANTIBODY THERAPY



(57) Abstract: The invention provides methods for diagnosing the efficacy of a patient to xenotypic antibody therapy which include (1) measuring the level of an antibody produced by a patient that specifically binds to a xenotypic antibody after administration of the xenotypic antibody to the patient; (2) measuring the level of an anti-idiotypic antibody produced by a patient that specifically binds to a xenotypic antibody after administration of the xenotypic antibody to the patient; (3) measuring the level of an antibody produced by a patient that specifically binds to a target antigen of a xenotypic antibody after administration of a xenotypic antibody to the patient; and (4) measuring the level of a T cell response produced by a patient to a target antigen of the xenotypic antibody after administration of a xenotypic antibody to the patient. In the methods of the invention, an increase in the level of antibody or T cell response produced by the patient after the administration of the xenotypic antibody relative to the level antibody or T cell response produced by the patient prior to the administration of the xenotypic antibody is indicative of a favorable diagnosis of efficacy.



---

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## **METHOD FOR DIAGNOSING EFFICACY OF XENOTYPIC ANTIBODY THERAPY**

### **CROSS-REFERENCE TO RELATED APPLICATIONS**

This application claims benefit from U.S. Provisional Patent Application Serial No. 60/181,008 filed February 8, 2000, and U.S. Provisional Patent Application Serial No. 60/201,868, filed May 4, 2000; the entire contents of which are hereby incorporated by reference.

### **BACKGROUND OF THE INVENTION**

#### **Field of the invention**

The invention relates to xenotypic antibody-mediated immunotherapy.

#### **Summary of the related art**

Xenotypic antibody-mediated immunotherapy is an emerging therapeutic approach for a variety of diseases. Ongoing clinical trials utilize murine monoclonal antibodies directed against CA125 antigen to treat ovarian cancer in humans. Ovarian cancer patients in traditional therapies have a high frequency of short-term relapse. Unfortunately, relapse is commonly not detected until the reappearance of CA125 antigen in the patient's blood stream. By that time, medical intervention options may be more limited than they might have been if the relapse could have been predicted earlier.

There is therefore a need for a method for predicting the likelihood of success of xenotypic antibody-mediated immunotherapy.

### **BRIEF SUMMARY OF THE INVENTION**

The invention provides a method for predicting the likelihood of success of xenotypic antibody-mediated immunotherapy. The invention further provides a method for diagnosing the period of time after xenotypic antibody-mediated immunotherapy during which a patient will be free from relapse.

The present inventor has surprisingly discovered that patients who receive xenotypic antibody-mediated immunotherapy have a much higher likelihood of success (longer period of avoiding relapse) if the patient produces high levels of anti-xenotypic antibody upon initial treatment. Note that where the patient is a human, the human anti-xenotypic antibody response is abbreviated HAXA.

Thus, the invention provides a method for diagnosing the efficacy of xenotypic antibody-mediated immunotherapy, the method comprising measuring the level of anti-xenotypic antibody (*e.g.*, HAXA) produced by the patient after administration to the patient of xenotypic antibody. In preferred embodiments, an increase in the level of anti-xenotypic antibody produced by the patient after administration of the xenotypic antibody relative to the level of anti-xenotypic antibody produced by the patient prior to administration of the xenotypic antibody is indicative of a favorable diagnosis of efficacy.

The invention further provides a method for diagnosing the efficacy of xenotypic antibody-mediated immunotherapy, the method comprising measuring the level of anti-idiotypic antibody (Ab2) produced in response to xenotypic antibody administration. An "anti-idiotypic antibody" means an antibody that specifically binds to the variable region of an antibody, thus partially or completely blocking the ability of the xenotypic antibody to specifically bind to its epitope on the target antigen (*e.g.*, an anti-idiotypic antibody that specifically binds to an administered xenotypic antibody specifically binds to the variable region of the xenotypic antibody).

The invention further provides a method for diagnosing the efficacy of xenotypic antibody-mediated immunotherapy, the method comprising measuring

the level of antibody to the target antigen of the xenotypic antibody produced in response to xenotypic antibody administration.

The invention further provides a method for diagnosing the efficacy of xenotypic antibody-mediated immunotherapy, the method comprising measuring the level of T cell stimulation response to the target antigen of the xenotypic antibody produced in response to xenotypic antibody administration. In certain embodiments, the T cell response is a helper T cell response, a cytotoxic T cell response, or a combination of helper and cytotoxic T cell responses.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 shows the relationship of median time to relapse to different values of HAMA used for the definition of responder.

Figure 2 shows the correlation between level of HAMA and level of Ab2.

Figure 3 shows correlation between increased level of Ab2 and increased survival.

Figure 4A shows the correlation between level of HAMA and level of anti-CA125 antibody (*i.e.*, antibody specific to CA125 antigen) produced by the patient.

Figure 4B shows the correlation between the level of HAMA and the increase in level of anti-CA125 antibody produced by the patient.

Figure 5 shows correlation between anti-CA125 antibody and survival.

Figure 6 shows correlation between increased level of T cell stimulation and increased survival.

### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

The invention relates to xenotypic antibody-mediated immunotherapy. The invention provides a method for predicting the likelihood of success of xenotypic antibody-mediated immunotherapy. Accordingly, using the methods of the invention, an assessment may be made as to whether xenotypic antibody-mediated immunotherapy is efficacious and thus should be continued in the patient, or whether the xenotypic antibody-mediated is not efficacious, and the patient should thus be re-evaluated for a possible alternate treatment. The invention further provides a method for diagnosing the period of time after xenotypic antibody-mediated immunotherapy during which a patient will be free from relapse.

The present inventor has surprisingly discovered that patients who receive xenotypic antibody-mediated immunotherapy have a much higher likelihood of success (longer period of avoiding relapse) if the patient develops high levels of human anti-xenotypic antibodies (HAXA) upon initial treatment.

Thus, in a first aspect, the invention provides a method for diagnosing the efficacy of xenotypic antibody-mediated immunotherapy, the method comprising measuring the level of HAXA produced by the patient after administration to the patient of xenotypic antibody. In preferred embodiments, an increase in the level of anti-xenotypic antibody produced by the patient after administration to the patient of the xenotypic antibody relative to the level of anti-xenotypic antibody produced by the patient prior to administration of the xenotypic antibody is indicative of a favorable diagnosis of efficacy.

As used herein, "diagnosing the efficacy" means predicting the time after administration of a xenotypic antibody at which relapse occurs. By "favorable" diagnosis" is meant a diagnosis that predicts that the time after administration of a xenotypic antibody at which relapse occurs is longer than the time after administration of a placebo (e.g., sugar solution or physiological saline solution) at which relapse occurs. In all of the aspects of the invention, a favorable diagnosis of

efficacy increases the time to disease progression or increases the likelihood of survival of the patient.

As used herein, "efficacy" means having the ability to delay disease progression or extend the life of a diseased patient. "Relapse" means the return of clinically observable signs or symptoms of disease. "Xenotypic antibody-mediated immunotherapy" means the administration of an antibody from one species of animal to a second species of animal having a disease, where the antibody forms an antibody-antigen pair with an antigen in the body of the second species that is associated with the disease, thereby reducing or eliminating clinically relevant signs or symptoms of the disease, as may be determined by any ordinarily skilled health care professional (*e.g.*, a nurse or a physician). By "target antigen associated with the disease" is meant an antigen which is found in greater quantities or as an altered protein in patients suffering from a disease. Non-limiting examples of target antigens associated with disease are CA125, which is associated with ovarian cancer, and prostate specific antigen, which is associated with prostate cancer.

As used herein, "HAXA" means a human antibody response against a xenotypic antibody, where the human has been administered the xenotypic antibody. "Xenotypic antibody" means antibody from another species. (Note that "antibody" and "antibodies" are used interchangeably throughout). Thus, if the patient is a human, the xenotypic antibodies would be non-human antibodies. As used herein, by "administer" or "administering" or "administration" is meant delivery of a xenotypic antibody by any suitable means, including, without limitation, intramuscular administration, intradermal, intravenous, intra-arterial, peritoneal, subcutaneous, and intra-lymphatic. Those of ordinary skill in the art will realize that a xenotypic antibody can be administered according to the methods of the invention in any physiologically acceptable formulation (*e.g.*, with saline solution). Methods for making pharmaceutically acceptable carriers and formulations thereof are found, for example, in Remington's Pharmaceutical Sciences (18th edition), ed. A. Gennaro (1990) Mack Publishing Company, Easton, PA.



Preferred diseases treated by xenotypic antibody-mediated immunotherapy include cancers, inflammatory diseases, and bacterial, parasitic, and viral infections. Particularly preferred are ovarian cancer, breast cancer and prostate cancer. Preferred xenotypic antibodies include, without limitation, murine monoclonal antibodies. Particularly preferred antibodies include, without limitation, OvaRex™ (which specifically binds to the CA125 antigen BrevaRex™ (which specifically binds to the MUC-1 antigen) and ProstaRex™ (which specifically binds to prostate specific antigen). In certain preferred embodiments, the HAXA response comprises human antibodies that specifically bind to any portion of the complementarity determining region of the xenotypic antibody. In certain embodiments, the HAXA response comprises an anti-idiotypic antibody that specifically binds to the variable region of the administered xenotypic antibody, thus partially or completely blocking the ability of the xenotypic antibody to specifically bind to its epitope on the target antigen. As used herein, by "specifically bind" is meant that an antibody recognizes and binds to a particular target antigen (*i.e.*, its target antigen), but does not substantially recognize and bind to other molecules in a sample, *e.g.*, a biological sample that naturally includes many different proteins. Preferably, the antibody specifically binds its target antigen at a site on the target antigen called an epitope. The association formed between the binding agent and its ligand may be covalent, and is preferably non-covalent. Preferably, a binding agent that specifically binds to its target antigen forms an association with that target antigen with an affinity of at least  $10^6 \text{ M}^{-1}$ , more preferably, at least  $10^7 \text{ M}^{-1}$ , even more preferably, at least  $10^8 \text{ M}^{-1}$ , and most preferably, at least  $10^9 \text{ M}^{-1}$  either in water, under physiological conditions, or under conditions which approximate physiological conditions with respect to ionic strength, *e.g.*, 140 mM NaCl, 5 mM  $\text{MgCl}_2$ .

In certain preferred embodiments, the HAXA response comprises human antibodies that bind the non-complementarity determining region of the xenotypic antibody. In certain preferred embodiments, the level of HAXA rises to more than 100-fold the level that was present before the administration of xenotypic antibody.

In certain preferred embodiments, the level of HAXA rises to more than 3-fold the level that was present before the administration of xenotypic antibody within two weeks of administration of xenotypic antibody. In certain preferred embodiments, the level of HAXA rises to more than 2-fold the level that was present before the administration of xenotypic antibody after at least 3 injections of xenotypic antibodies. In certain preferred embodiments, the HAXA response is at least 200 ng antibody/ml blood. In certain preferred embodiments, the HAXA response is at least 5,000 ng antibody/ml blood. In certain preferred embodiments, the HAXA response is at least 10,000 ng antibody/ml blood. In certain preferred embodiments, the HAXA response is at least 40,000 ng antibody/ml blood.

In certain preferred embodiments, the HAXA response causes the body to make antibodies (Ab3) that can compete with the xenotypic antibody for binding its target antigen (*i.e.*, the Ab3 antibody specifically binds to a region on the target antigen that completely or partially blocks the ability of the xenotypic antibody to specifically bind to its epitope on the target antigen). In certain preferred embodiments, the Ab3 is present at least 3-fold higher than the level of Ab3 present before the administration of xenotypic antibody.

In preferred embodiments, the HAXA response increases the time to disease progression. Thus, if there is a HAXA response, the disease progresses more slowly. In preferred embodiments, the HAXA response results in an increase in survival.

In certain preferred embodiments, administration of the xenotypic antibody results in a T-cell response against the target antigen of the xenotypic antibody that has a stimulation index of greater than 1.5 times higher than before the administration of the xenotypic antibody. The stimulation index can be determined according to standard T cell stimulation assays (*e.g.*, 1.5 times higher <sup>3</sup>H-thymidine uptake by T cells proliferating in the presence of the target antigen as compared to the <sup>3</sup>H-thymidine uptake by T cells proliferating in the absence of the target antigen).

In one non-limiting example of the methods of the invention, human patients with ovarian cancer were administered a murine monoclonal antibody that specifically binds a target antigen associated with ovarian cancer, and then tested to determine if they had produced HAMA in response to the murine antibody. In this non-limiting example, ovarian cancer patients were administered a murine monoclonal anti-CA125 antibody at a dosage of 1 mg/kg body weight. Later, serum samples were tested for the presence of human anti-mouse antibodies (HAMA). In the analysis of the test results of the serum samples, a "HAMA responder" was taken to be a treated patient with a substantial antibody response, defined as an on-study maximum HAMA response greater than or equal to 10,000 ng/mL. An analysis of the time to relapse was undertaken using HAMA responder/HAMA non-responder as a stratification factor. The results are shown in Table I below. There were 44 patients in the antibody treatment group who were considered HAMA responders using the above criterion. The median time to relapse for these patients (*i.e.*, the HAMA responder patients) was 16.38 months, compared to 7.76 months for antibody treated HAMA non-responders and 11.34 months for placebo control patients. These results demonstrate that significant HAMA response is strongly predictive of time to relapse.

**Table I: Time to relapse - Kaplan-Meier analysis**

Parameter	HAMA responders	HAMA non-responders	Placebo
Number of patients	44	81	126
Relapsed N (%)	19 (43.2%)	46 (56.8%)	62 (49.2%)
Censored N (%)	25 (56.8%)	35 (43.2%)	64 (50.8%)
Time to Relapse			
25th percentile	7.66 (6.97, 12.53)	3.62 (2.47, 4.93)	5.49 (4.64, 7.43)
Median (95% Confidence Interval)	16.38 (11.97)	7.76 (6.02, 19.20)	11.34 (9.87, 19.89)
75th percentile	(18.91)	(19.20)	(19.89)

In a follow-up analysis of these patients, a "HAMA responder" was taken to be an OvaRex™-treated patient with a substantial antibody response, in this case  $\geq 5,000$  ng/mL. An analysis of the time to relapse was undertaken using HAMA responder/ non-responder as a stratification factor. The results are shown in Table II below.

**Table II: Time to relapse - Kaplan-Meier analysis**

Parameter	HAMA responders	HAMA non-responders	Placebo
Number of patients	64	61	126
Relapsed N (%)	27 (42.2%)	38 (62.3%)	62 (49.2%)
Censored N (%)	37 (57.8%)	23 (37.7%)	64 (50.8%)
Time to Relapse			
25th percentile	7.66 (7.37, 10.10)	2.66 (1.84, 4.44)	5.49 (4.64, 7.43)
Median (95% Confidence Interval)	16.38 (10.10)	6.51 (4.51, 12.27)	11.34 (9.87, 19.89)
75th percentile	(18.91)	(12.27)	(19.89)

As shown in Table II, there were 64 patients in the OvaRex™ treatment who were considered HAMA responders using the above criterion. The median time to relapse for these patients was 16.38 months, compared to 6.51 months in the OvaRex™ treatment HAMA non-responder group and 11.34 months in the placebo group.

Figure 1 show the relationship of median time to relapse to different values of HAMA used for the definition of responder. As Figure 1 shows, there is a slight increase in median time to relapse for HMA responders defined as patients with HAMA of at least 200 ng/ml (N=103) compared to all OvaRex™ patients (portrayed in Table II as a HAMA cutoff of 0 ng/ml; N=125). When HAMA responders are compared to HAMA non-responders, the increase in median time is more dramatic

(see Figure 1). As Figure 1 shows, an increase in median time to relapse was observed for responders at the HAMA level of 5,000 ng/ml (N=64) and a further increase at a HAMA cut-off of 40,000 ng/ml (N=16).

The levels of HAXA (*e.g.*, HAMA is the human is being administered a murine antibody) produced in response to xenotypic antibody administration also correlate strongly with the levels of anti-idiotypic antibody (*i.e.*, Ab2) produced in response to xenotypic antibody administration. Thus, in a second aspect, the invention further provides a method for diagnosing the efficacy of xenotypic antibody-mediated immunotherapy, the method comprising measuring the level of anti-idiotypic antibody (Ab2) produced in response to xenotypic antibody administration.

Preferably, Ab2 levels are at least 50 ng/ml. All other definitions and preferred embodiments are as described for the first aspect of the invention.

Levels of Ab2 present in patient sera were measured and compared to the levels of the HAMA response. The results are shown in Figure 2. These results demonstrate that a strong positive correlation exists between the levels of Ab2 and the level of the HAMA response.

Survival time was also compared with the levels of Ab2 in these patients. The results are shown in Figure 3. The 54 patients having post-treatment Ab2 levels above 50 ng/ml had a mean survival time of 18.5 months. The 25 patients having post-treatment Ab2 levels under 50 ng/ml had a mean survival time of 12.5 months. These results demonstrate that post-treatment Ab2 levels are predictive of survival time. Moreover, these results indicate that if a patient produces increased levels of anti-idiotypic antibody following administration of a murine monoclonal antibody, the patient has an increased survival time.

The levels of HAXA produced in response to xenotypic antibody administration also correlate strongly with the levels of antibody to the target antigen of the xenotypic antibody produced in response to xenotypic antibody administration. Thus, in a third aspect, the invention further provides a method for diagnosing the efficacy of xenotypic antibody-mediated immunotherapy, the

method comprising measuring the level of antibody to the target antigen of the xenotypic antibody produced in response to xenotypic antibody administration. "The target antigen of the xenotypic antibody" means an antigen in the body with which the xenotypic antibody forms an antibody-antigen binding pair. All other definitions and preferred embodiments are as described for the first aspect of the invention. Preferably the level of antibody to the target antigen of the xenotypic antibody is at least 3-fold higher than before the administration of the xenotypic antibody.

The level of antibody to the target antigen of the xenotypic antibody was compared with the level of the HAMA response. Thus, the levels of anti-CA125 antibodies produced by the patients in the above-described study were measured and compared to the level of the HAMA response of these patients. As shown in Figures 4A and 4B, as the concentration of HAMA increased, so did the patients' anti-CA125 response. These results demonstrate a strong positive correlation exists between the level of the HAMA response and the ability of the patient to generate his/her own anti-CA125 antibody.

Survival time of patients was also compared with the level of antibody to the target antigen of the xenotypic antibody. The results are shown in Figure 5. The three year post-treatment survival for patients having at least a 3-fold increase in the level of antibody to the target antigen of the xenotypic antibody (as compared to the level prior to administration of the xenotypic antibody) was 38%. The three year post-treatment survival for patients having less than a 3-fold increase in the level of antibody to the target antigen of the xenotypic antibody (as compared to the level prior to administration of the xenotypic antibody) was 8%. These results demonstrate that the level of antibody to the target antigen of the xenotypic antibody is predictive of survival.

In another aspect, the invention provides a method for diagnosing the efficacy of xenotypic antibody-mediated immunotherapy, the method comprising measuring the level of T cell stimulation to the target antigen of the xenotypic antibody produced in response to xenotypic antibody administration. The term

"level of T cell stimulation to the target antigen of the xenotypic antibody" means the stimulation index of T cells specific for the target antigen of the xenotypic antibody. T cell stimulation can be determined, for example, by incubating patient cells in vitro with the target antigen (*e.g.*, CA125 antigen) or tissue culture media only (*i.e.*, no antigen), pulsing the cells with  $^3\text{H}$ -thymidine, and counting the amount of  $^3\text{H}$  uptake by the cells. In this assay, the stimulation index is a comparison of the amount of  $^3\text{H}$  taken up by cells in the presence of target antigen versus the amount of  $^3\text{H}$  taken up by cells in the absence of antigen. Another method for measuring T cell stimulation (*e.g.*, for cytotoxic T cell stimulation) is a  $^{51}\text{Cr}$  release assay, where target cells (*i.e.*, MHC matched) are incubated with the target antigen or tissue culture media only (*i.e.*, no antigen), and then pulsed with  $^{51}\text{Cr}$ . Next, patients' cells are added and the mixture of cells incubated for an amount of time, and then the amount of  $^{51}\text{Cr}$  released by lysed cells is measured. In this assay, the stimulation index is a comparison of the  $^{51}\text{Cr}$  released by cells in the presence of target antigen versus the amount of  $^{51}\text{Cr}$  released by the cells in the absence of antigen.

All other definitions and preferred embodiments are as described for the first aspect of the invention. Preferably, the level of T cell stimulation to the target antigen of the xenotypic antibody is at least 1.5-fold higher than before administration of the xenotypic antibody.

Survival time was compared with the level of T cell stimulation to the target antigen of the xenotypic antibody. The results are shown in Figure 6. Median survival time for patients having at least a 1.5-fold increase in T cell stimulation index was 84 months. Three year survival in these patients was 75%. Median survival time for patients having less than a 1.5-fold increase in T cell stimulation index was 13.2 months. Three year survival in these patients was 0%.

The following example is provided to further illustrate certain preferred embodiments of the invention and is not to be construed as narrowing the scope of the invention.

## Example 1

Determination of HAMA response

A determination of the HAMA response of a patient may be made by using any of the numerous methods for determining an anti-murine responsive antibody concentration known to those of skill in the art of the invention. For example, any standard immunological assay, including, without limitation, ELISA or RIA, may be used to determine the HAMA response of a patient receiving treatment with the murine antibody of the invention. Such standard immunological assays are described, for example, in Ausubel et al. (1999) Current Protocols in Molecular Biology, John Wiley & Sons, New York, NY; and Coligan et al. (1999) Current Protocols in Immunology, John Wiley & Sons, New York, NY.

In one non-limiting example, a group of human patients is administered a murine antibody according to the methods of the invention. At various time points following administration of the murine antibody according to the invention, a blood sample from each patient is collected and measured for the amount of antibody present in the sample that is responsive to a murine antibody, such as the murine antibody that is used for administration. The amount of human antibody reactive to the murine antibody (*i.e.*, the amount of the HAMA response) of each patient may be easily measured.

For example, using an ELISA-based assay to titer the HAMA response, an amount of murine antibody is used to coat the bottom of the wells in a 96 well plate. Limiting dilutions of each patient's blood sample are added to the wells of the plate, and under conditions such that the antibody in the patients' blood can specifically bind to the murine antibody.

Following antibody-specific binding, the plate is rinsed, such that the human antibody that did not specifically bind to the murine antibody coated onto the 96 well plate is removed. Next, a secondary anti-human antibody is added to each plate, and under conditions such that antibody-specific binding may occur. Preferably, the anti-human antibody is labeled with a fluorophore, such that bound secondary antibody can be detected using a 96 well plate reader. The amount of



HAMA activity in the patient's blood can be readily determined by determining the binding of secondary antibody to the 96 well plate.

Whether or not the patients' blood includes Ab3 antibody (*i.e.*, antibody produced by the patient that specifically binds to the target antigen) can be similarly determined by ELISA by determining whether the antibody in the patients' sera binds to a 96 well coated with the target antigen. Secondary anti-human antibody binding to the plate indicates the patients' are able to generate an Ab3 response following administration of a xenotypic antibody that specifically binds to the target antigen.

Whether or not the patients' blood includes T cells (helper and/or cytotoxic) that specifically bind to the target antigen in context of matched MHC can be readily determined by a helper T cell assay (*e.g.*,  $^3\text{H}$  thymidine uptake assay) or a cytotoxic T cell assay (*e.g.*, a  $^{51}\text{Cr}$  release assay) using MHC matched target cells (*e.g.*, from the patient him/herself) incubated with the target antigen or no antigen (negative control). Any increased proliferation by helper T cells or increased lysis by cytotoxic T cells in the presence of target antigen as compared to no antigen is indicative that the patient has a helper and/or cytotoxic T cell response.

### EQUIVALENTS

As will be apparent to those skilled in the art to which the invention pertains, the present invention may be embodied in forms other than those specifically disclosed above without departing from the spirit or essential characteristics of the invention. The particular embodiments of the invention described above, are, therefore, to be considered as illustrative and not restrictive. The patent and scientific literature referred to herein establishes knowledge that is available to those with skill in the art, and . The issued U.S. patents, allowed applications, published foreign applications, and references, including GenBank database sequences, that are cited herein are hereby incorporated by reference to the same extent as if each was specifically and individually indicated to be incorporated by reference. Any conflict between the literature cited herein and the present

specification shall be resolved in favor of the latter. The scope of the invention is as set forth in the appended claims rather than being limited to the examples contained in the foregoing description.

### Claims

1. A method for diagnosing the efficacy of xenotypic antibody-mediated immunotherapy comprising measuring the level of an antibody produced by a patient that specifically binds to a xenotypic antibody after administration of the xenotypic antibody to the patient, wherein an increase in the level of the antibody produced by the patient after the administration of the xenotypic antibody relative to the level of antibody produced by the patient prior to the administration of the xenotypic antibody is indicative of a favorable diagnosis of efficacy.
2. The method of claim 1, wherein the level of human anti-xenotypic antibody is increased by more than two-fold relative to the level present in the patient prior to the administration of the xenotypic antibody.
3. The method of claim 1, wherein the xenotypic antibody is a murine monoclonal antibody.
4. The method of claim 1, wherein the xenotypic antibody is selected from the an antibody that specifically binds to an antigen, wherein the antigen is selected from the group consisting of CA125, MUC-1, and prostate specific antigen.
5. The method of claim 1, wherein the level of human anti-xenotypic antibody produced by a patient after administration of the xenotypic antibody to the patient is greater than or equal to 5,000 ng antibody/ml blood.
6. The method of claim 1, wherein the level of human anti-xenotypic antibody produced by a patient after administration of the xenotypic antibody to the patient is sufficient for the patient to produce an antibody that can compete with the xenotypic antibody for binding to its target antigen.

7. The method of claim 1, wherein the favorable diagnosis of efficacy increases the time to disease progression.
8. The method of claim 1, wherein the favorable diagnosis of efficacy increases the likelihood of survival of the patient.
9. The method of claim 1, wherein the patient is suffering from a disease selected from the group consisting of cancer, inflammatory disease, bacterial infection, parasitic infection, and viral infection.
10. The method of claim 1, wherein the patient is suffering from cancer.
11. The method of claim 1, wherein the patient is human.
12. A method for diagnosing the efficacy of xenotypic antibody-mediated immunotherapy comprising measuring the level of an anti-idiotypic antibody produced by a patient that specifically binds to a xenotypic antibody after administration of the xenotypic antibody to the patient, wherein an increase in the level of the anti-idiotypic antibody produced by the patient after the administration of the xenotypic antibody relative to the level of anti-idiotypic antibody produced by the patient prior to the administration of the xenotypic antibody is indicative of a favorable diagnosis of efficacy.
13. The method of claim 12, wherein the patient is human.
14. The method of claim 12, wherein the patient is suffering from a disease selected from the group consisting of cancer, inflammatory disease, bacterial infection, parasitic infection, and viral infection.

15. The method of claim 12, wherein the xenotypic antibody is selected from the an antibody that specifically binds to an antigen, wherein the antigen is selected from the group consisting of CA125, MUC-1, and prostate specific antigen.
16. The method of claim 12, wherein the level of antibody produced by the patient is at least 50 ng/mL blood.
17. A method for diagnosing the efficacy of xenotypic antibody-mediated immunotherapy comprising measuring the level of an antibody produced by a patient that specifically binds to a target antigen of a xenotypic antibody after administration of a xenotypic antibody to the patient, wherein an increase in the level of the antibody produced by the patient after the administration of the xenotypic antibody relative to the level of antibody produced by the patient prior to the administration of the xenotypic antibody is indicative of a favorable diagnosis of efficacy.
18. The method of claim 17, wherein the antibody produced by the patient competes with the xenotypic antibody for its binding site on the target antigen.
19. The method of claim 17, wherein the level of antibody produced by the patient after administration of the xenotypic antibody is increased by more than three-fold relative to the level present in the patient prior to the administration of the xenotypic antibody.
20. The method of claim 17, wherein the patient is human.
21. The method of claim 17, wherein the xenotypic antibody is selected from the an antibody that specifically binds to an antigen, wherein the antigen is selected from the group consisting of CA125, MUC-1, and prostate specific antigen.

22. A method for diagnosing the efficacy of xenotypic antibody-mediated immunotherapy comprising measuring the level of a T cell response produced by a patient to a target antigen of the xenotypic antibody after administration of a xenotypic antibody to the patient, wherein an increase in the level of the T cell response produced by the patient after the administration of the xenotypic antibody relative to the level of the T cell response produced by the patient prior to the administration of the xenotypic antibody is indicative of a favorable diagnosis of efficacy.
23. The method of claim 22, wherein the T cell response is a T helper cell response.
24. The method of claim 22, wherein the T helper cell response is a cytotoxic T cell response.
25. The method of claim 22, wherein the patient is human.

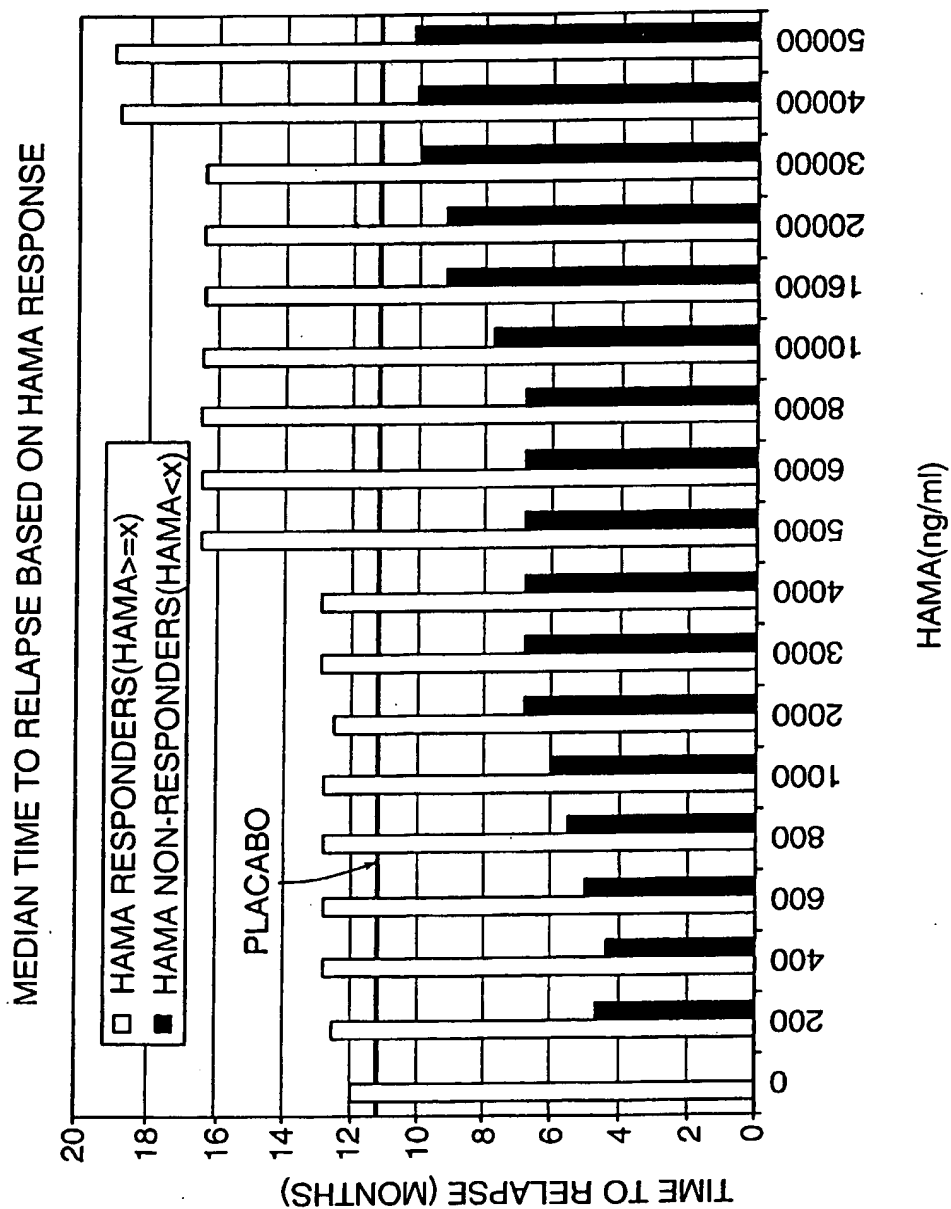


FIG. 1

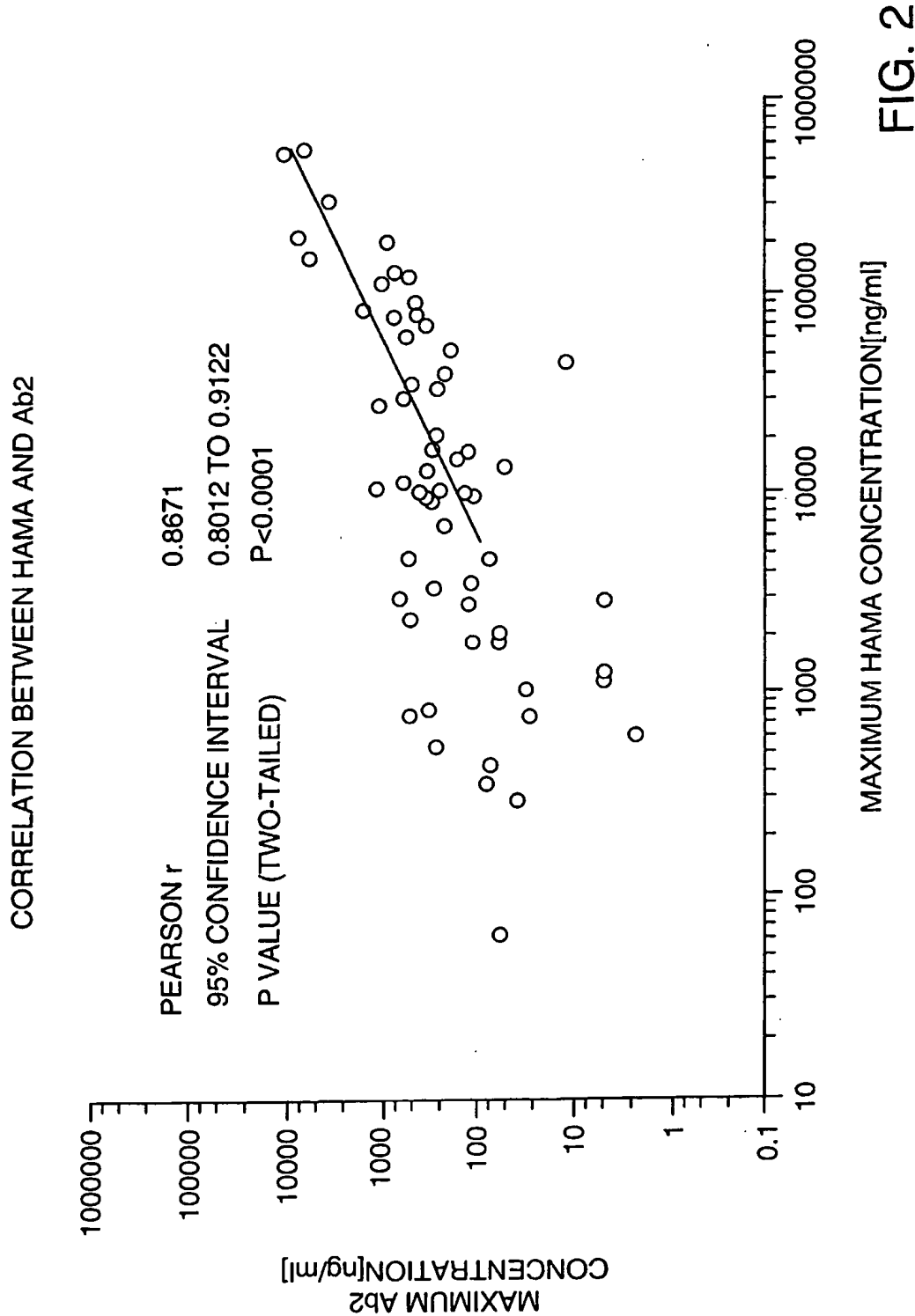


FIG. 2



SURVIVAL POST 1. ANTIBODY INJECTION

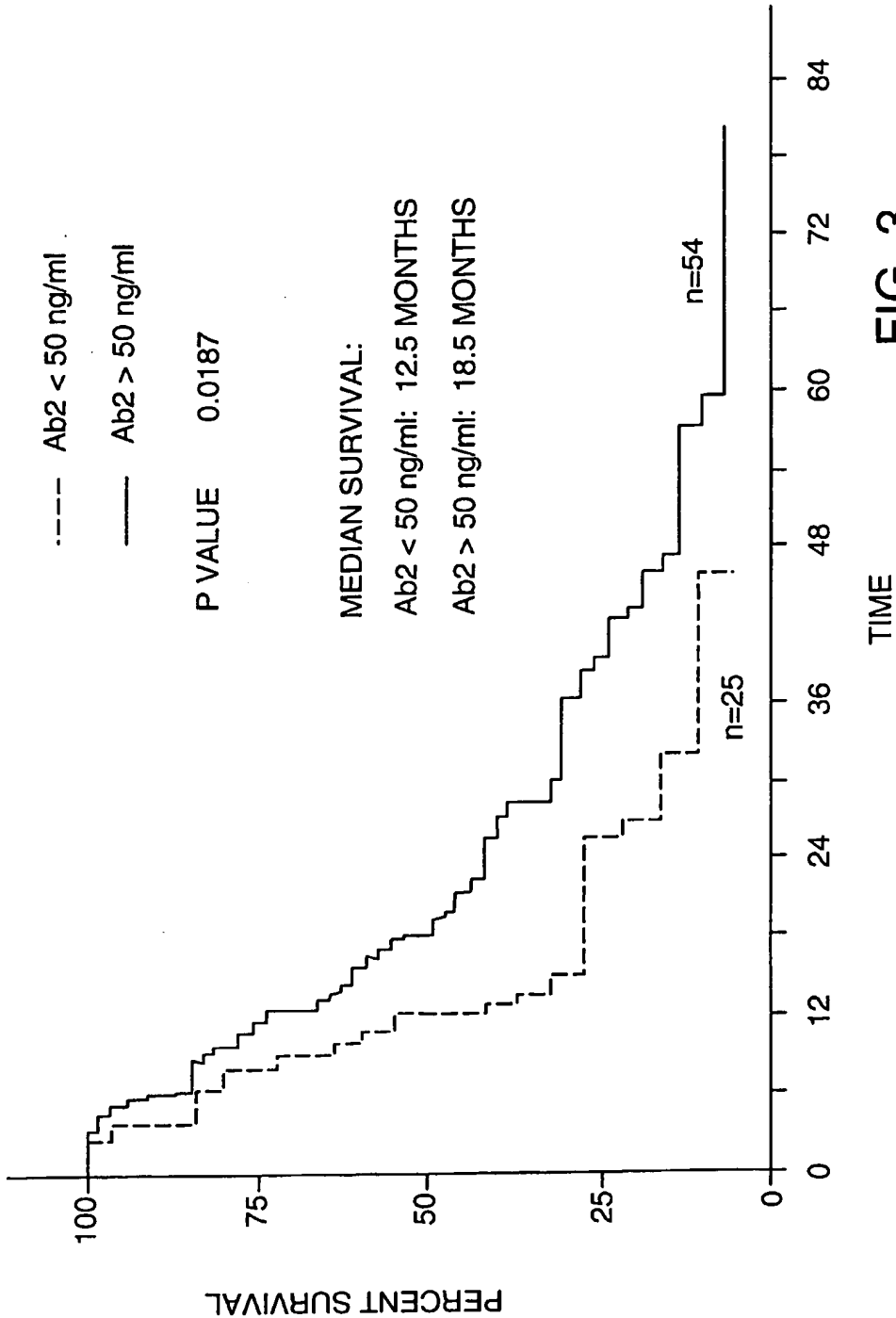


FIG. 3

4/6

## CORRELATION BETWEEN HAMA AND ANTI-CA 125 ANTIBODIES

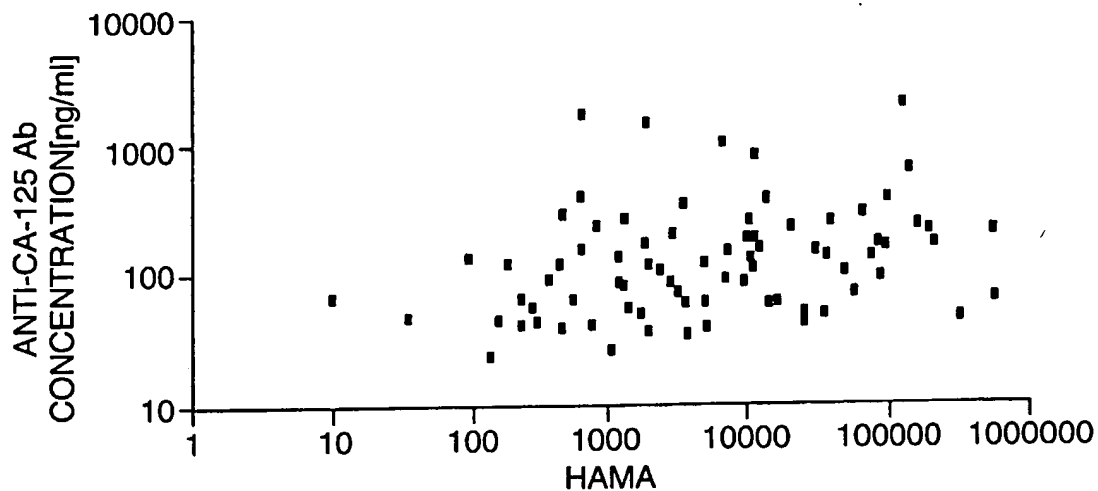


FIG. 4A

## CORRELATION BETWEEN HAMA AND ANTI-CA 125 ANTIBODY INCREASE

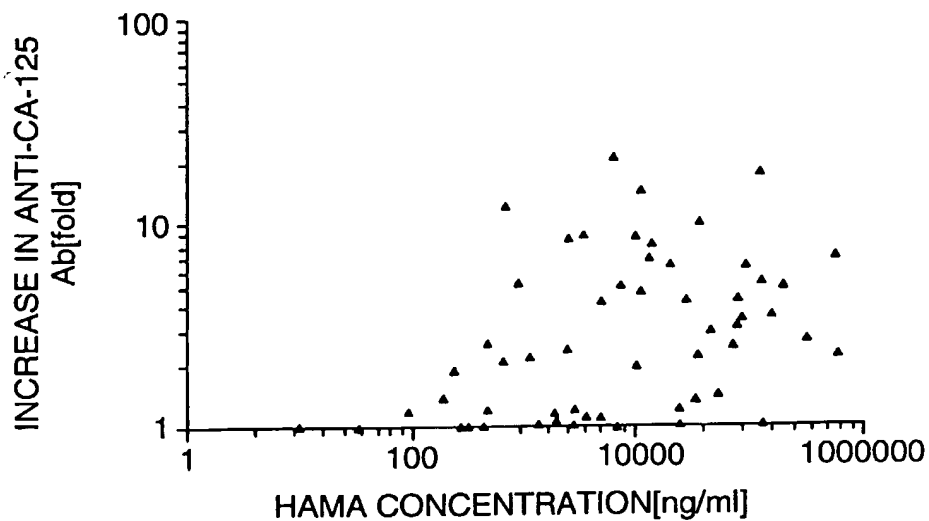


FIG. 4B

5/6

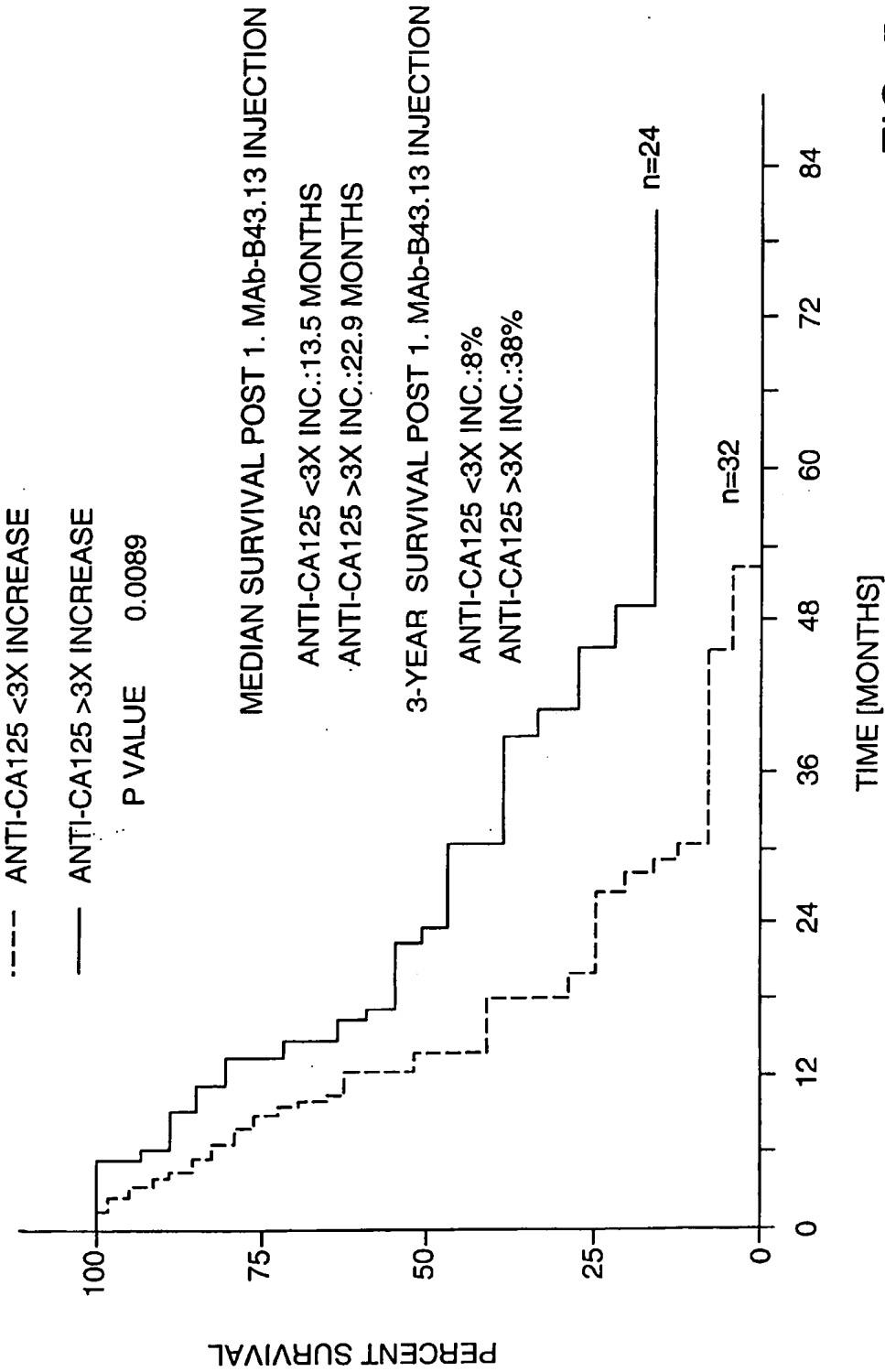


FIG. 5

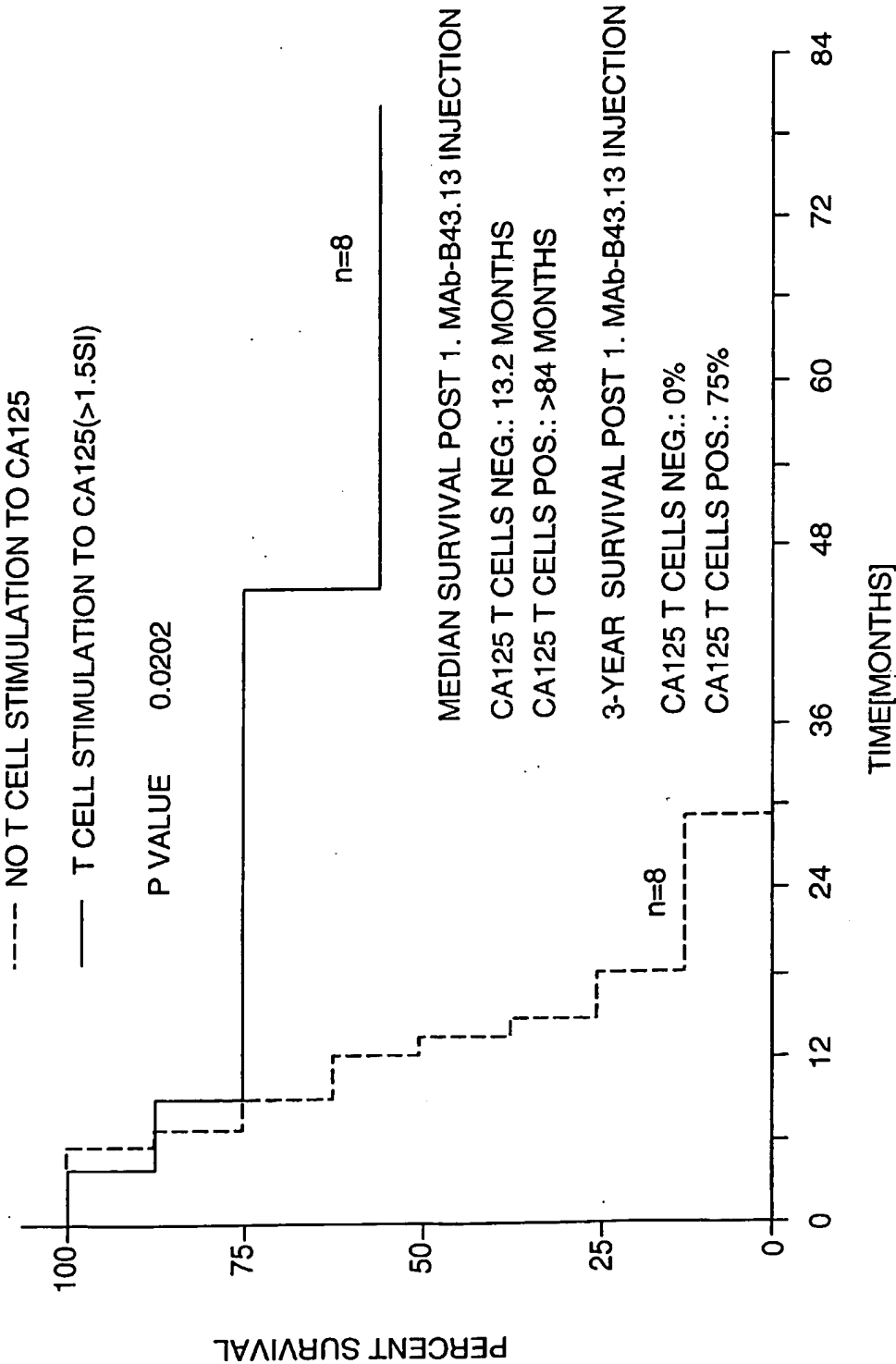


FIG. 6

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
16 August 2001 (16.08.2001)

PCT

(10) International Publication Number  
WO 01/059452 A3

(51) International Patent Classification<sup>7</sup>: G01N 33/68

(21) International Application Number: PCT/IB01/00423

(22) International Filing Date: 8 February 2001 (08.02.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/181,008 8 February 2000 (08.02.2000) US  
60/201,868 4 May 2000 (04.05.2000) US

(71) Applicant: ALTAREX CORP. [CA/CA]; 1123 Dentistry  
Pharmacy Building, University of Alberta, Edmonton, Al-  
berta T6G 2N8 (CA).

(72) Inventor: NOUJAIM, Antoine; 58 Wilkin Road, Edmon-  
ton, Alberta T6M 2K4 (CA).

(74) Agents: CAWTHORN, Christian et al.; Swabey Ogilvy  
Renault, Suite 1600, 1981 McGill College Avenue, Mon-  
tréal, Québec H3A 2Y3 (CA).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ,  
DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR,  
HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,  
LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ,  
NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM,  
TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian  
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European  
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,  
IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF,  
CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

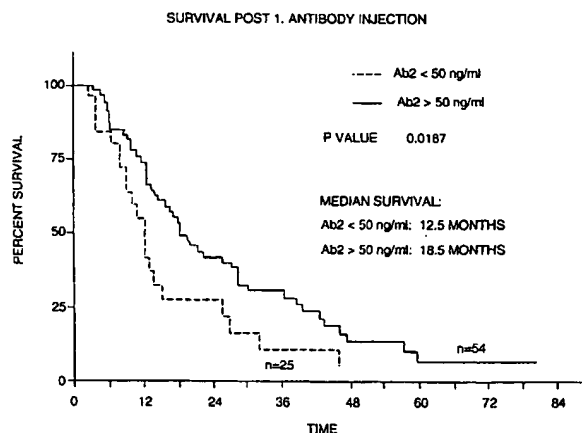
Published:

- with international search report
- before the expiration of the time limit for amending the  
claims and to be republished in the event of receipt of  
amendments

(88) Date of publication of the international search report:  
18 July 2002

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(54) Title: METHOD FOR DIAGNOSING EFFICACY OF XENOTYPIC ANTIBODY THERAPY



(57) Abstract: The invention provides methods for diagnosing the efficacy of a patient to xenotypic antibody therapy which include (1) measuring the level of an antibody produced by a patient that specifically binds to a xenotypic antibody after administration of the xenotypic antibody to the patient; (2) measuring the level of an anti-idiotypic antibody produced by a patient that specifically binds to a xenotypic antibody after administration of the xenotypic antibody to the patient; (3) measuring the level of an antibody produced by a patient that specifically binds to a target antigen of a xenotypic antibody after administration of a xenotypic antibody to the patient; and (4) measuring the level of a T cell response produced by a patient to a target antigen of the xenotypic antibody after administration of a xenotypic antibody to the patient. In the methods of the invention, an increase in the level of antibody or T cell response produced by the patient after the administration of the xenotypic antibody relative to the level antibody or T cell response produced by the patient prior to the administration of the xenotypic antibody is indicative of a favorable diagnosis of efficacy.

# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/IB 01/00423

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 G01N33/68

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, BIOSIS

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	SCHULTES BIRGIT C ET AL: "Anti-idiotypic induction therapy: Anti-CA125 antibodies (AB3) mediated tumor killing in patients treated with Ovarex mAb B43.13 (Ab1)." CANCER IMMUNOLOGY IMMUNOTHERAPY, vol. 46, no. 4, June 1998 (1998-06), pages 201-212, XP002194278 ISSN: 0340-7004 the whole document --- -/--	1-25

☒ Further documents are listed in the continuation of box C.

☐ Patent family members are listed in annex.

\* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*Z\* document member of the same patent family

Date of the actual completion of the international search

3 May 2002

Date of mailing of the international search report

21/05/2002

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Pellegrini, P

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/IB 01/00423

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>MADIYALAKAN R ET AL: "Antiidiotype Induction Therapy: Evidence for the Induction of Immune Response through the Idiotypic Network in Patients with Ovarian Cancer after Administration of Anti-CA125 Murine Monoclonal Antibody B43.13." HYBRIDOMA, vol. 14, no. 2, 1995, pages 199-203, XP001064580 ISSN: 0272-457X the whole document</p> <p style="text-align: center;">---</p>	1-25
X	<p>QI W ET AL: "Induction of idiotype network to anti-MUC-1 antibody in breast cancer." PROCEEDINGS OF THE AMERICAN ASSOCIATION FOR CANCER RESEARCH ANNUAL, vol. 39, March 1998 (1998-03), page 367 XP002194279 89th Annual Meeting of the American Association for Cancer Research; New Orleans, Louisiana, USA; March 28-April 1, 1998, March, 1998 ISSN: 0197-016X abstract</p> <p style="text-align: center;">---</p>	1-25
X	<p>REINARTZ S ET AL: "Evaluation of immunological responses in patients with ovarian cancer treated with the anti-idiotype vaccine ACA125 by determination of intracellular cytokines-A preliminary report." HYBRIDOMA, vol. 18, no. 1, February 1999 (1999-02), pages 41-45, XP001064593 ISSN: 0272-457X the whole document</p> <p style="text-align: center;">---</p>	1-25
X	<p>SCHLEBUSCH HARALD ET AL: "A Monoclonal Antiidiotypic Antibody ACA 125 Mimicking the Tumor-Associated Antigen CA 125 for Immunotherapy of Ovarian Cancer." HYBRIDOMA, vol. 14, no. 2, 1995, pages 167-174, XP001064579 ISSN: 0272-457X the whole document</p> <p style="text-align: center;">---</p> <p style="text-align: center;">-/--</p>	1-25

# INTERNATIONAL SEARCH REPORT

Int. :lonal Application No

PCT/IB 01/00423

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	KOEHLER S ET AL: "Immunotherapy of ovarian carcinoma with the monoclonal anti-idiotypic antibody ACA125: Results of the phase Ib study." GEBURTSHILFE UND FRAUENHEILKUNDE, vol. 58, no. 4, April 1998 (1998-04), pages 180-186, XP001064559 ISSN: 0016-5751 the whole document	1-25
A	LEVEUGLE B ET AL: "PSA-directed immunotherapy of prostate cancer." PROCEEDINGS OF THE AMERICAN ASSOCIATION FOR CANCER RESEARCH ANNUAL, vol. 39, March 1998 (1998-03), page 355 XP002194280 89th Annual Meeting of the American Association for Cancer Research; New Orleans, Louisiana, USA; March 28-April 1, 1998, March, 1998 ISSN: 0197-016X abstract	1-25
A	WAGNER U A ET AL: "IMMUNOTHERAPY OF ADVANCED OVARIAN CARCINOMAS BY ACTIVATION OF THE IDIOTYPIC NETWORK" BIOTECHNOLOGY THERAPEUTICS, vol. 3, no. 1-2, 1992, pages 81-89, XP001053690 ISSN: 0898-2848 the whole document	1-25